

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	OIPE		
••		)	Confirmation No.: 9440
Jeremy Stieglitz, et al.	MIG 17 2004 1	)	
		)	Examiner: NYA
Serial No.: 10/825,827	The state of the s	)	
	PADEMAR	)	Group Art Unit No.: 2131
Filed on: April 16, 2004	•	)	

For: DYNAMICALLY MITIGATING A NONCOMPLIANT PASSWORD

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e)

1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:
A statement pursuant to 37 C.F.R. §1.97(e)
1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR
1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
AND
A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.
 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.
(1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: August 12, 2004

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

August , 2004 (Date of Deposit)

Jennifer Newell

(Typed or printed name of person mailing correspondence)

Seq. No. 8660

## INFORMATION DISCLOSURE CITATION OF E ON AN APPLICATION

**ATTY. DOCKET NO.** 50325-0874

**SERIAL NO.** 10/825,827

(PTO-1449)

APPLICANT:

Jeremy Stieglitz et al.

FILING DATE: April 16, 2004

**GROUP:** 2131

211	PAT	TNT	DOC	IMI	PTV
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	The state of the s			U.S. PATENT DUCUMENTS		
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent	Document  Kind Code <sup>2</sup> (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	_	4,218,738		Matyas et al.	08-19-1980	
		5,369,707		Follendore, III	11-29-1994	
		5,682,475		Johnson et al.	10-28-1997	
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		6,760,768	B2	Holden et al.	07-06-2004	
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	FOREIGN PATENT DOCUMENTS											
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Pa Office <sup>3</sup> Numb	ntent Document  Per Mind Code (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>					

Examiner	Date Considered	
Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO. SERIAL NO. 50325-0874 10/825,827

**APPLICANT:** 

Jeremy Stieglitz et al.

FILING DATE: April 16, 2004

**GROUP:** 

2131

	<del></del>			U.S. PATENT DOCUMENTS		<u> </u>
Exam. Initial*	Cite No.1	U.S. Patent	Document	Name of Patentee or Applicant	Date of Publication of	Pages, Columns,
initiai*	No.	Number	Kind Code <sup>2</sup> (If known)	of Cited Document	Cited Document MM-DD-YYYY	Lines, Where Relevant Passages or Relevant Figures Appear
		6,769,031	B1	Bero	07-27-2004	
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i .	Cite	Fo	reign Patent l	Document	Name of Patentee or Applicant	Date of	Pages,	ר ו
Initial*	No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)	of Cited Document	Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
			<del> </del>					

Examiner	Date Considered
Signature	

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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		1449A/PTO (Modified) eets as necessary)	Attorney Docket No.: Application Number: 10/825,827			Number:
			First Named Inventor Jeremy Stieglitz Filing Date:	:		
	<del></del>		April 16, 2004			
	·	OTHER ART – NO PATEN	T LITERATURE DOCU	MENTS		
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LE item (book, magazine, journal, serial, sympos publisher, city an		(s), volume-issu		Translation <sup>2</sup>
		Cisco Systems, Inc., "Easy Secure Device Deployment AAA Integration," Cisco IOS Release 12.3(8)T, pp. 1-22.				
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Examiner Signature				Date Considere	ed	

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.